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# Mechanisms of Low-Level Jet Formation in the U.S. Mid-Atlantic Offshore

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ABSTRACT: Low-level jets (LLJs), in which the wind speed attains a local maximum at low 6 altitudes, have been found to occur in the U.S. mid-Atlantic offshore, a region of active wind energy 7 deployment as of 2023. In contrast to widely studied regions such as the U.S. Southern Great Plains 8 and the California coastline, the mechanisms that underlie LLJs in the U.S. mid-Atlantic are poorly 9 understood. This work analyzes floating lidar data from buoys deployed in the New York Bight to 10 understand the characteristics and causes of coastal LLJs in the region. Application of the Hilbert-11 Huang transform, a frequency analysis technique, to LLJ case studies reveals that mid-Atlantic 12 jets frequently occur during times of adjustment in synoptic-scale motions, such as large-scale 13 temperature and pressure gradients or frontal passages, and that they do not coincide with motions 14 at the native inertial oscillation frequency. Subsequent analysis with theoretical models of inertial 15 oscillation and thermal winds further reveals that these jets can form in the stationary geostrophic 16 wind profile from horizontal temperature gradients alone-in contrast to canonical LLJs, which 17 arise from low-level inertial motions. Here, inertial oscillation can further modulate the intensity 18 and altitude of the wind speed maximum. Statistical evidence indicates that these oscillations arise 19 from stable stratification and the associated frictional decoupling due to warmer air flowing over 20 a cold sea surface during the springtime land-sea breeze. These results improve our conceptual 21 understanding of mid-Atlantic jets and may be used to better predict low-level wind speed maxima. 22

SIGNIFICANCE STATEMENT: The purpose of this work is to identify and characterize the atmospheric mechanisms that result in an occasional low-level maximum in the wind speed off the U.S. mid-Atlantic coastline. Our findings show that these low-level jets form due to horizontal temperature gradients arising from fronts and synoptic systems, as well as from the land–sea breeze that forces warmer air over the cold ocean surface. This work aids predictability of such jets, improves our understanding of this coastal environment, and has implications for future deployment of offshore wind energy in this region.

# **30 1. Introduction**

Low-level jets (LLJs) broadly describe local maxima in wind speed that occur near the surface, as 31 opposed to more typical monotonically increasing winds with altitude. These LLJ wind phenomena 32 have important effects on pollutant transport and air quality (Delgado et al. 2015; Ryan et al. 1998), 33 as well as enhancing moisture transport associated with deep convection and strong precipitation 34 (Maddox 1983; Zhang and Fritsch 1986; Higgins et al. 1997). More recently, as interest in wind 35 energy technology has risen, so has interest in the characteristics and atmospheric mechanisms 36 of LLJs in wind-rich resource areas such as the Southern Great Plains (SGP) (e.g., Gadde and 37 Stevens 2020; Gutierrez et al. 2017; Wimhurst and Greene 2019) and the California coast (Optis 38 et al. 2020). The U.S. mid-Atlantic recently joined the list as the focal point of national incentives 39 to develop offshore wind energy on the east coast (Shields et al. 2022; Whitehouse Briefing 2021; 40 Environmental Protection Agency 2023). LLJs have also been found to occur in this offshore region 41 near New Jersey and Long Island (Colle and Novak 2010; Debnath et al. 2021), but relatively little 42 attention has been paid to these New York (NY) Bight jets compared with their more canonical 43 counterparts in the SGP and California coast. This work analyzes recent floating lidar data from 44 buoys deployed in the NY Bight to disentangle the effects of potential LLJ mechanisms. 45

The canonical Blackadar mechanism (Blackadar 1957) of inertial oscillation (IO) describes jets in the SGP, which occur at night with a regular diurnal cycle in the summertime. (Many definitions of the LLJ, in fact, presuppose this mechanism and nocturnal nature; however, this work defines an LLJ by its maximum in wind speed alone.) In the Blackadar conceptual model, the onset of atmospheric stability at nighttime initiates a deviation of the instantaneous winds from a steady-state wind that balances horizontal pressure gradients and shear stresses (Cuxart and Jiménez 2007).

This deviation leads to rotation of the wind vector about its equilibrium at the Coriolis frequency, 52 which can induce a local maximum in the wind speed with respect to altitude (Parish et al. 1988; 53 Wiel et al. 2010; Shapiro and Fedorovich 2010; Du and Rotunno 2014; Carroll et al. 2019). This 54 model of LLJ formation has also been shown to apply to nocturnally recurrent jets at Cabauw in 55 the Netherlands (Baas et al. 2009), over the Weddell Sea (Andreas et al. 2000), and over the Baltic 56 coast (Högström and Smedman-Högström 1984; Smedman et al. 1993, 1995). A key feature of 57 these nocturnal jets is frictional decoupling that occurs between the boundary layer and flows at 58 higher altitudes due to nighttime onset of stability over the daytime-warmed land surface (Du and 59 Rotunno 2014, e.g.), which is not expected to be as pronounced in an offshore environment with 60 approximately constant sea-surface temperatures over diurnal timescales. However, Smedman et al. 61 (1995) found that the land-sea temperature contrast during daytime was crucial for development 62 of an LLJ in the Baltic Sea, suggesting that the flow of warm continental air over the sea surface 63 could induce frictional decoupling. 64

In contrast, coastal jets in California have been linked to a baroclinic mechanism, in which 65 winds remain in geostrophic balance and a wind-speed maximum forms due to the coupling of the 66 thermal wind balance with a surface layer below (Parish 2000). This mechanism has also been 67 shown to enhance IO-triggered LLJs in areas of sloped terrain, such as the SGP (Holton 1967; 68 Shapiro and Fedorovich 2009; Parish and Oolman 2010). Related to these horizontal temperature 69 gradients, coastal jets in California have also been linked to the land-sea breeze (LSB) (Zemba and 70 Friehe 1987; Douglas 1995; Sgouros and Helmis 2009; Burk and Thompson 1996; Holt 1996). 71 Topography and terrain have likewise been shown to contribute to California coastal jets through 72 the shape of the coastline (Beardsley et al. 1987; Burk and Thompson 1996) and to be the dominant 73 factor in barrier jets that form along mountain ranges, such as the Sierra Nevada (Parish 1982) 74 and the Antarctic shelf (Parish 1983). Finally, LLJs in many regions have been linked to frontal 75 passage (Ostdiek and Blumen 1995, 1997; Lundquist 2003; Kalashnik 2004; Sgouros and Helmis 76 2009), which could represent a particular case of the baroclinic forcing mechanism. 77

<sup>78</sup> While LLJs in the U.S. mid-Atlantic have been studied for decades, the scientific community has <sup>79</sup> not yet yielded a clear consensus on their causes. Observational studies of LLJs on the East Coast <sup>80</sup> have focused on nocturnal inversion (Doyle and Warner 1991) and stable stratification induced <sup>81</sup> by LSBs (Helmis et al. 2013; Debnath et al. 2021) as sources of frictional decoupling and IO,

as well as on contributions of mountainous topography to formation of jets (Doyle and Warner 82 1991; Rabenhorst et al. 2014). McCabe and Freedman recently linked LLJs in the NY Bight 83 to land-sea breezes, citing the contribution of differential heating and the land-sea temperature 84 difference. Other studies utilizing weather forecasting models have revealed contributions to LLJs 85 from large-scale gradients in temperature and pressure, the slope of the Appalachian topography, 86 frontal passages, and diurnal land-sea temperature contrasts (Zhang et al. 2006; Colle and Novak 87 2010; Rabenhorst et al. 2014; Aird et al. 2022). Recent modeling studies of the mid-Atlantic 88 offshore region suggest a strong seasonality in both LLJs (Aird et al. 2022) and sea-breeze events 89 (Xia et al. 2022), which further suggests that temperature and pressure gradients contribute to jets 90 in this coastal environment. 91

The present work examines floating lidar buoy data from the NY Bight to disentangle mechanisms 92 that may contribute to these gradients at different time scales, including synoptic-scale flow, frontal 93 passages, and diurnal land-sea temperature contrasts. While many existing studies of LLJs only 94 consider local effects in order to isolate the low-level maxima from large-scale phenomena such 95 as frontal passages, we make no such distinction, preferring instead to characterize any and all 96 low-level maxima in the wind speeds. First, we consider statistics based on 2 years of data in 97 the region to understand the relationship of LLJ activity with frontal events, seasonality, and 98 local factors such as the air-sea temperature difference. Next, we generalize the Hilbert-Huang 99 Transform (HHT) analysis of Lundquist (2003) to examine frequency ranges suggested by the data, 100 considering different physical processes rather than a single presupposed mechanism such as IO. 101 We further investigate inertial motions and synoptic signatures found from this frequency analysis 102 through a conceptual framework of IO and thermal wind balance (as in Ostdiek and Blumen 1997). 103 Section 2 of this paper describes the dataset and these analysis techniques, including the HHT and 104 conceptual models. Section 3 then presents the results described above, beginning with the 2-year 105 statistics, followed by frequency analysis, and concluding with conceptual models. Finally, Section 106 4 summarizes the primary findings and offers additional insights for future work. 107

## **108 2. Methods and Data**

## 109 a. Lidar and Buoy Data

Wind data used in this study comes from two floating lidar buoys in the NY Bight funded by the 110 New York State Energy Research and Development Authority (NYSERDA) (NYSERDA 2022). 111 The buoys are located at  $(39^\circ 58' 09.40'' N, 72^\circ 43' 00.09'' W)$  for buoy E05 North and  $(39^\circ$ 112 32' 48.38" N, 73° 25' 44.01" W) for buoy E06 South (hereafter referred to as E05 and E06) (see 113 Figure A1 for a graphical depiction of the buoy location), supplying lidar wind measurements 114 at 10-min frequency every 20 m above sea level up to 200 m, as well as meteorological data 115 measured at the buoy. The limited vertical extent of the lidar data restricts analysis to very low 116 level jets and cannot identify jets with a maximum above 180m altitude, unlike other nearby studies 117 (Zhang et al. 2006; Colle and Novak 2010; Rabenhorst et al. 2014). The buoys are separated by 118 approximately 47 km north-south and 60 km east-west, for a distance of 77 km. The available data 119 included a single 2-year period of concurrent measurements at both buoys spanning September 120 2019–September 2021 which we use for statistical analysis of coinciding factors with LLJs in the 121 region. Later analyses are restricted to buoy E06 in the springtime of April–June 2020 and several 122 6-day case studies within this time window due to improved data availability over E05 during this 123 time period. Additional data quality control is applied in computing jet statistics: lidar readings 124 reporting a wind-speed measurement greater than 70 m s<sup>-1</sup> at any altitude or with measurements 125 at fewer than 3 out of the 10 lidar reading altitudes are considered invalid, as no validation of the 126 lidars was performed for results beyond these thresholds (NYSERDA 2022). Additional analysis 127 uses doppler lidar data from the Atmospheric Radiation Measurement (ARM) SGP site C1 over a 128 12-day period from 12 June 2018–20 June 2018 (Newsom and Krishnamurthy 2023). This dataset 129 extends up to 4.3 km in altitude, but analysis is restricted to the lowest 24 levels (as in Bodini et al. 130 (2021), reaching an altitude of 688 m, which sufficiently captures most nocturnal LLJs. LLJs are 131 identified in all lidar datasets according to the criteria of Debnath et al. (2021). These criteria are 132 season-agnostic and intended to identify low-level maxima in the winds which may be relevant to 133 wind energy: (1) the 150-m (reference turbine hub-height) wind speed exceeds the cut-in speed of 134 3 m s<sup>-1</sup>; (2) the wind profile displays a local maximum within the measured altitude levels; and 135

 $_{136}$  (3) the drop in wind speed above the local maximum exceeds 1.5 m s<sup>-1</sup> or 10% of the maximum wind speed, whichever is higher.

#### 138 b. Surface Analysis

Discussion of fronts and pressure systems are based on interpretation of surface analysis maps 139 from the National Weather Service Weather Prediction Center (WPC). Statistical results presented 140 in this work also include statistics related to the frequency of frontal passage in the region. The 3-h 141 WPC CONUS surface analysis maps are obtained for the 2-year period corresponding to available 142 lidar data from September 2019–September 2021. Images are analyzed for the presence of a front 143 (warm, cold, or occluded) within a 100-km radius of buoy E06, as illustrated in Figure A1. This 144 radius is determined from multiplying a characteristic wind speed of 10 m s<sup>-1</sup> by the interval 145 between surface analysis frames (3 h). A front is determined to coincide with an LLJ event if 146 the front is present in the frame within 3 h of a sustained LLJ event. A sustained LLJ event is 147 defined as a time period in which there is no more than a 1-h gap in consecutive lidar measurements 148 (every 10 min) displaying an LLJ. Statistics are reported as a fraction of 10-minute time intervals 149 which belong to an extended jet event out of all times, and out of times in which a front is also 150 present. Significance of the difference between these two fractions is reported as the *p*-value from 151 the binomial test. 152

# 153 c. Temperature Gradients Computed from WRF Model Data

To approximate horizontal temperature gradients for LLJ case studies, this study uses archived 154 data for corresponding case dates from a multi-year WRF (Weather Research and Forecasting) 155 model run over the U.S. mid-Atlantic region (Bodini et al. 2020). The archived data includes 156 hourly output of temperature fields up to 260-m altitude. Gradients are approximated using the 157 difference in temperature at coordinates that are  $\pm 0.2^{\circ}$  latitude and longitude from buoy E06 (or 158 approximately  $\pm 22$  km), averaged in altitude up to 200 m and in time for the case date of interest. 159 The magnitude of temperature gradients computed this way is not sensitive to increasing the 160 horizontal distance used in differencing, provided the points used in the computation are both over 161 the ocean. 162

## <sup>163</sup> d. Hilbert–Huang Transform

The Hilbert–Huang Transform (HHT) is applied to velocity components from the lidar data 164 according to the procedure of Lundquist (2003). In brief, the time-varying velocity component (U165 or V) at a single altitude level is decomposed into its intrinsic mode functions (IMFs). The Hilbert 166 transform is applied to each IMF to recover a complex signal:  $A(t)\exp(i\theta(t))$ . This resulting 167 signal can be understood as a sine wave with amplitude A(t) and phase  $\theta(t)$  both varying in time, 168 and the local-in-time frequency of the sine wave can be derived by differentiating  $\theta(t)$ . Both 169 the decomposition into IMFs and the Hilbert transform are computed using the Python package 170 Empirical Mode Decomposition (EMD) (Quinn et al. 2021). 171

Frequencies and amplitudes resulting from the HHT are then analyzed in two ways. First, we 172 generate a frequency spectra, where the frequencies of a given IMF are weighted by their associated 173 amplitude and aggregated in time to generate histograms of the normalized "power" associated 174 with various frequency ranges (Figure 7). This weighting and aggregation is performed within the 175 EMD package. Second, a time-height mapping of the Hilbert amplitudes filtered for particular 176 frequency ranges and summed over all IMFs is presented in Figures 8–11. This second analysis is 177 similar to that of Helmis et al. (2015), but the filtered frequency ranges are much broader, inclusive 178 over the range  $1 \times 10^{-6}$  Hz to  $5 \times 10^{-5}$  Hz (corresponding to periods of 12 days to 6 h), and centered 179 about peaks in the frequency power spectra, rather than specific inertial or diurnal frequencies. 180

#### 181 *e. Theoretical models*

The latter half of the analysis fits time- and height-varying lidar velocity data from the three mid-Atlantic LLJ case studies (as in Figures 9–11) to analytical models of IO and thermal wind balance following the procedures of Ostdiek and Blumen (1997). For an IO, data are fit to the equations:

$$u(z,t) = u_{ss}(z) + A(z)\sin(ft + \phi(z))$$
  

$$v(z,t) = v_{ss}(z) + A(z)\cos(ft + \phi(z)).$$
(1)

The parameters  $u_{ss}(z)$ ,  $v_{ss}(z)$ , A(z), and  $\phi(z)$  (with a value at each lidar altitude z) are varied to minimize the mean squared error between the fits u(z,t) and the time-series data. Later, we explore the steady-state velocity profiles through the lens of thermal wind balance, as an Ekman layer coupled to a surface layer. The Ekman layer solution is given by:

$$u_{ss}(z) = (u_{g0} + u_{gz}z) + e^{-\eta} ((u_0 - u_{g0})\cos\eta + (v_0 - v_{g0})\sin\eta)$$
  

$$v_{ss}(z) = (v_{g0} + v_{gz}z) + e^{-\eta} ((v_0 - v_{g0})\cos\eta - (u_0 - u_{g0})\sin\eta),$$
(2)

where  $\eta = z/H$ , *H* being the Ekman layer depth, and  $(u_{g0}, v_{g0})$  are the geostrophic wind components at the surface. The surface winds  $u_0, v_0$  are derived to satisfy a surface layer matching condition:

$$\frac{\partial(u,v)}{\partial z} = \frac{A}{H}(u,v),\tag{3}$$

<sup>192</sup> which implies:

$$u_{0} = \frac{(2+A)u_{g0} + (1+A)Hu_{gz} - (Av_{g0} - Hv_{gz})}{1 + (1+A)^{2}}$$
  
$$v_{0} = \frac{(2+A)v_{g0} + (1+A)Hv_{gz} + (Av_{g0} - Hv_{gz})}{1 + (1+A)^{2}}.$$
 (4)

In Equation 4,  $(u_{gz}, v_{gz})$  refer to the vertical gradients of the geostrophic wind components, which 193 can be related to horizontal temperature differences through thermal wind balance. Parameters 194 of this model are varied to minimize the mean squared error across altitudes between the steady 195 state profiles  $u_{ss}(z)$  and  $v_{ss}(z)$  found in equation 1 and the predicted Ekman layer solution. In a 196 freely varying version of the optimization, the parameters  $u_{g0}$ ,  $v_{g0}$ ,  $u_{gz}$ ,  $v_{gz}$ , H, and A are allowed 197 to vary, with  $u_{gz}$  and  $v_{gz}$  constrained to fall within (-0.1,0.1) s<sup>-1</sup>, A within (0,10), and H within 198 (0,400) m to ensure physical solutions. In a second, semiconstrained version of this optimization, 199 the parameters  $u_{gz}$  and  $v_{gz}$  are approximated from horizontal temperature gradients computed from 200 WRF data over the same time period as the IO fit. These gradients are then fixed, and only  $u_{g0}$ , 201  $v_{g0}$ , H, and A vary in the optimization. 202

## **3. Results and Discussion**

# <sup>204</sup> a. Statistical Indicators of Low-Level Jets in the New York Bight

Analysis of the lidar-measured wind speeds at both NYSERDA buoys over a 2-year period 205 (spanning September 2019–September 2021) reveals LLJs that are detected in 2.9% and 3.5% 206 of valid lidar readings collected at buoys E05 and E06, respectively. These frequencies likely 207 underestimate the presence of wind-speed maxima in the boundary layer due to the limited 200 m 208 vertical extent of the lidar data. Buoy E06 reports data over 78% of the 2-year time period, with two 209 large gaps in available data spanning September 2020–January 2021 and August 2021–December 210 2021. Buoy E05 reports data over 97% of the 2-year period and experiences intermittent gaps in 211 data up to 12 h in duration during June and October 2020. Based on this data availability, we focus 212 on statistics for buoy E05, while later selecting case studies from spring 2020 from buoy E06. 213

Winds in the region are predominantly southwesterly at both buoys (Figure 1), with the strongest 214 winds arising from a southwesterly along-coast direction. Restricting this analysis to only the LLJ 215 events, however, reveals that LLJs have a much stronger correlation with west-southwesterly wind 216 direction compared with all data at each buoy. The LLJ distribution favors moderate wind speeds 217 and few occasions where the wind speed exceeds  $18 \text{ m s}^{-1}$ . These findings are consistent with 218 the results of Debnath et al. (2021), who found that high-shear periods at the same NYSERDA 219 buoy sites typically occur during southwesterly flows with a bias towards west-southwest. Jets are 220 slightly more westerly than the overall data, and this enhanced cross-coast direction could imply 221 influence of the LSB. 222

In agreement with previous work (e.g., Debnath et al. 2021; Aird et al. 2022; Colle and Novak 227 2010; McCabe and Freedman 2023), we find that LLJs occur most frequently in the spring months 228 of April–June (Figure 2) and in afternoon and evening hours local time (Figure 3), with a second 229 peak in frequency in the late morning. LLJs are much less frequent in the autumnal and early 230 winter months of September–January, though this finding may be impacted by missing data in the 231 case of E06. In contrast to nocturnal SGP LLJs which typically occur after sunset, LLJs occur 232 least frequently in the nighttime hours (0400 UTC to 1000 UTC). This key difference indicates 233 that the primary driving mechanism of mid-Atlantic LLJs is unlikely to be IOs triggered by 234 nocturnal stability. However, diurnal cycles in the land-sea temperature gradient may nevertheless 235



FIG. 1. Frequency of wind speed and direction at z = 160 m for buoys E05 and E06 (top and bottom, respectively), for all times (left) and for LLJ events only (right). Bar height corresponds to the frequency of occurrence of the wind direction as a percentage of data, and coloring of the bar is proportional to the number of data points in various wind speed bins (see legend; units of m/s).

<sup>236</sup> be important to controlling the formation and timing of LLJs (Colle and Novak 2010; McCabe
 <sup>237</sup> and Freedman 2023). For instance, the cross-coast land–sea temperature gradient could directly
 <sup>238</sup> strengthen along-coast winds during a jet event through thermal wind balance. The findings of



FIG. 2. Count of LLJ occurrences by month of the year for both NYSERDA buoys (colors).



FIG. 3. Count of LLJ occurrences by hour of the day for both NYSERDA buoys (colors).

McCabe and Freedman that LLJs frequently coincide with LSBs, which peak in the late afternoon
 hours, additionally implicates a role of the local air–sea temperature difference.

<sup>241</sup> Consistent with the dominant wind direction, jets are more likely occur when the pressure gradient <sup>242</sup> between the two buoys (Figure 4, left), which follows an approximately along-coast southwesterly <sup>243</sup> direction, is positive. Pressure differences greater than 1 hPa between the buoys are less likely to <sup>244</sup> be found during an LLJ event, which agrees with the finding that LLJs are less likely to exhibit <sup>245</sup> 150-m wind speeds exceeding 15 m s<sup>-1</sup> (Figure 1). However, LLJ events are more likely to exhibit <sup>246</sup> positive horizontal temperature gradients (higher temperatures to the southwest) of all magnitudes <sup>247</sup> (Figure 4, right). These findings further implicate a thermal wind effect: horizontal temperature



FIG. 4. Probability density of horizontal differences in pressure (left) and temperature (right) measured at the two NYSERDA buoys (southwest minus northeast buoy) for LLJ events and all data points.

gradients, potentially related to the LSB, drive the vertical structure of the geostrophic wind, which 248 may play an important role in the formation of jets in the region. Furthermore, Figure 5 indicates 249 that jet events are much more likely than average to exhibit a positive air-sea temperature difference. 250 This feature is consistent with the finding that LLJs predominantly occur in the springtime and the 251 afternoon. It further suggests that jets may be associated with a more stable atmosphere, leading to 252 a frictional decoupling that triggers an IO, as in Smedman et al. (1995). The springtime prevalence 253 of jets further suggests an association with a land-sea breeze pushing warmer air over a colder 254 sea surface as found in McCabe and Freedman (2023), and the enhanced presence of LLJs during 255 daytime compared with nighttime (Figure 3) further supports LSBs as a contributing factor through 256 horizontal temperature gradients and/or induced stability. 257

Fronts, which have been linked to LLJs in several studies (e.g., Mori 1990; Ostdiek and Blumen 1997; Sgouros and Helmis 2009; Helmis et al. 2013; Carroll et al. 2019), are an extreme case of horizontal temperature gradients, and may contribute to the role of thermal winds in LLJ formation. Comparison of LLJ events with the WPC Surface Analysis archive reveals an enhanced probability of an LLJ event occurring during a time of frontal activity, compared with the baseline frequency. Using the criteria described in section 2 to identify jet events, we find that 6.9% of time points at buoy E05 are associated with an LLJ event; when a front is present, the frequency of LLJ-



FIG. 5. Probability of air-sea temperature  $T - T_w$  difference measured at each NYSERDA buoy (E05 left, E06 right), for LLJ events and for all data points.

associated time points rises to 12.0%, representing a 5% increase in likelihood of LLJs in the 269 presence of a front (p < 0.001). This increase in jet activity with frontal passage was especially 270 prominent in the springtime months of 2020, with an increase of 10% probability over the baseline 271 of 14.9% LLJ-associated times in April–June 2020 (p < 0.001). Thus LLJs in all seasons showed 272 an enhanced probability of occuring in temporal proximity to a front in the region. This finding 273 builds on observations of southwesterly gradients (Figure 4) to indicate a likely role of temperature 274 and pressure gradients in creating LLJs in the region. Notably, however, the prevalence of LLJs 275 during times of frontal activities is significantly lower than the 2/3 rate of occurrence of LLJs 276 during LSBs found by McCabe and Freedman, which suggests that the discontinuities associated 277 with a front are less conducive to LLJs than the milder gradients associated with the LSB. 278

Jets at the NYSERDA buoys most frequently exhibit a jet nose maximum wind speed of around 10 m s<sup>-1</sup> at an altitude of 60–80 m (Figure 6). These very low level events contrast the land-based measurements of (Zhang et al. 2006), who found jets over Fort Meade, Maryland, with maximum wind speeds concentrated at a 400 m altitude and higher. Our finding likely reflects the limited vertical extent of the lidar data, which only reaches a 200-m altitude, as well as the difference in jet characteristics over land versus over the sea. Nevertheless, these near-surface jets in the NY Bight warrant interest, as they present wind-speed maxima, and therefore negative vertical shear,



FIG. 6. Joint distribution of jet nose heights and jet nose wind speeds for all LLJ events from the two NYSERDA buoys over a 2-year period from September 2019–September 2021.

at altitudes that would fall within the rotor layer of offshore wind turbines. These jets occur with
speeds at or above the turbine rated wind speed, for which a turbine produces maximum power
(see, e.g., the International Energy Agency's 15-MW offshore reference wind turbine described in
Gaertner et al. 2020).

#### <sup>292</sup> b. Frequency Analysis

To distinguish mid-Atlantic LLJs from the background frequency characteristics of the region, 293 we consider three 6-day periods at buoy E06 which contain sustained or repeated LLJ events of 6 294 hours or more, as well as the entire 3-month 2020 springtime period containing these events. (The 295 same periods at buoy E05 show similar frequency spectra but with worse data availability over the 296 2020 springtime.) In addition, we use as reference a 12-day period from the ARM SGP site with 297 regular recurring LLJs (previously studied for wind-plant applications in Bodini et al. 2021) in 298 order to compare the NY Bight jets against those with a well characterized Blackadar mechanism. 299 Figure 7 displays the amplitude-weighted HHT frequencies at a single altitude near the typical jet 300 nose height aggregated over time for these five datasets. We note that for a given dataset, the peaks 301 in each wind component typically correspond to the same characteristic frequencies, but the relative 302 amplitude or power varies between wind components. This trend corroborates coupling between 303

<sup>304</sup> zonal (*U*) and meridional (*V*) winds as expected while indicating that directional factors, such as <sup>305</sup> horizontal gradients, may impact the winds asymmetrically. The patterns seen in Figure 7 are <sup>306</sup> repeated at different altitudes in the data (not shown) with consistency in the dominant frequencies <sup>307</sup> and variability in relative weighting of each IMF, particularly near the surface.

The SGP dataset in Figure 7 (top row) displays strong peaks in the frequency spectra at 26 h, 314 which is near the diurnal period, and at 19 h, which corresponds to the inertial period at this latitude. 315 Lower-frequency peaks (134 h, 63 h) can be interpreted to correspond to synoptic timescales and 316 mechanisms, whereas higher-frequency peaks are attributable to mesoscale phenomena, such as 317 cloud and precipitation events (Lundquist 2003). Turbulence and other microscale frequencies 318 have been excluded from the analysis due to their low normalized power in the HHT spectra. The 319 HHT spectra of the full 2020 springtime period in the mid-Atlantic (Figure 7, second row) shows 320 strong separation of the timescales associated with each IMF, from the mesoscale periods of IMF's 321 1-4, to a subinertial period of 14 h associated with IMF 5, a near-diurnal period of IMF 6, and 322 larger synoptic periods for IMF 7 and greater. Four characteristic frequency ranges corresponding 323 to these peaks in the SGP data and the full springtime NYSERDA data are identified in Table 1 for 324 further investigation and are shaded in Figure 7. The two intermediate frequency ranges (types 2 325 and 3) are separated by the location of overlap of IMFs 5 and 6. 326

While previous works have used the HHT to specifically filter for inertial frequencies to identify 327 evidence of IO (Lundquist 2003; Helmis et al. 2013), the spectra in Figure 7 do not support the 328 presence of an 18–19 h period in the mid-Atlantic, unlike in the SGP. The spectra do, however, show 329 a peak for a period of 22 h (in the case of 3 April 2020) to 27 h (13 May 2020 and 2 June 2020), as well 330 as a peak at 14 h in the full springtime dataset and some velocity components of the case dates. For 331 the SGP data, frequency ranges 2 and 3 are cleanly categorized as diurnal and inertial, respectively, 332 whereas the NYSERDA data better supports a frequency range that encompasses both characteristic 333 periods: a near-diurnal frequency range 2 and a subinertial frequency range 3 that is distinct from 334 mesoscale motions (range 4). As noted in Zhang et al. (2006), a horizontally sheared environment 335 will exhibit a modified inertial frequency, which may explain inertial mechanisms manifesting in 336 the diurnal or subinertial frequency range. Altogether, the frequency ranges investigated (Table 337 1) are inclusive of all frequencies spanning slow synoptic scales of  $1 \times 10^{-6}$  Hz (type 1) to faster 338 mesoscales of  $5 \times 10^{-5}$  Hz. 339



FIG. 7. Amplitude-weighted Hilbert–Huang Transform frequency spectra in U (left) and V (right) velocities for five datasets for each intrinsic mode of the signal. (From top to bottom) at the ARM SGP site C1, 403 m above ground level: a 12-day period beginning on 9 June 2018; at NYSERDA buoy E06, 160 m above sea level: 91 days spanning April–June 2020; and 6-day periods at NYSERDA buoy E06 beginning 2 June 2020, 13 March 2020, and 3 April 2020. Peaks with a normalized power above 0.02 are labeled with the period (in hours) corresponding to the frequency of that peak. Shadings denote the frequency ranges listed in Table 1.

Cases	Type 1	Type 2	Type 3	Type 4	
NYSERDA Buoy E06	$1.0\!\times\!10^{-6}$ to $7.0\!\times\!10^{-6}$ Hz	$7 \times 10^{-6}$ to $1.4 \times 10^{-5}$ Hz	$1.4 \times 10^{-5}$ to $2.4 \times 10^{-5}$ Hz	$2.4 \times 10^{-5}$ to $5 \times 10^{-5}$ Hz	
	(60 h)	(26 h)	(14 h)	(8 h)	
ARM SGP C1	$1.0\!\times\!10^{-6}$ to $7.0\!\times\!10^{-6}~{\rm Hz}$	$7 \times 10^{-6}$ to $1.2 \times 10^{-5}$ Hz	$1.2 \times 10^{-5}$ to $2.4 \times 10^{-5}$ Hz	$2.4 \times 10^{-5}$ to $5 \times 10^{-5}$ Hz	
	(60+ h)	(26 h)	(19 h)	(8 h)	

TABLE 1. Frequency ranges investigated in Figures 8–11 and the characteristic period about which the range is centered, selected based on results presented in Figure 7. Note that frequency types are labeled from lowest to highest frequency—opposite from the IMF numbering, which tends to go from highest to lowest frequency.

To further distinguish the relative roles of each characteristic frequency in the overall wind 343 velocity signals, we consider the amplitudes associated with each frequency type locally in altitude 344 and height in Figures 8–11. Amplitudes are reported in units of m s<sup>-1</sup>, following the HHT procedure. 345 and generally decrease as the frequency of the associated HHT increases, as seen in Figure 7. 346 The colorbars in Figures 9–11 are rescaled accordingly to depict local variations in the HHT 347 amplitudes. These amplitudes indicate the energy associated with mechanisms at the associated 348 range of timescales and can be used to identify the contribution of different physical processes 349 to the wind profiles (Helmis et al. 2015). For instance, synoptic-scale motions such as pressure 350 systems and large scale horizontal gradients exhibit strong signals in the lowest frequency range 351 1, evolving on the time scale of order 100 hours (Helmis et al. 2015; Lundquist 2003). Medium-352 frequency motions corresponding to ranges 2 or 3 correspond to inertial or diurnal timescales, 353 which would indicate an inertial oscillation or nocturnal forcing (Lundquist 2003; Helmis et al. 354 2013). Higher-frequency motions (range 4) are most representative of mesoscale phenomena such 355 as density currents, turbulence, or precipitation events. Fronts were referenced in Lundquist (2003) 356 as both synoptic and mesoscale phenomena, with a synoptic signature corresponding to the large 357 scale pressure gradients on either side of the front, and a mesoscale frequency of motion peaking 358 when the barrier between the two air masses passes over the point of interest. 359

<sup>360</sup> Beginning with the SGP datasets, we observe a clear cyclic pattern in the winds (Figure 8, top <sup>361</sup> row), with LLJs forming in the late evening local time (UTC-5), and with the jet nose increasing in <sup>362</sup> altitude and wind speeds intensifying through the morning until the jet dissipates. The amplitude <sup>363</sup> contours for the *U* component indicate initial atmospheric motions in frequency range 1 around <sup>364</sup> altitudes of 400 m. These motions dissipate throughout 10 June 2018, with a simultaneous increase <sup>365</sup> in activity in frequency range 1 for the *V* component. Changes to this low-frequency signal are likely

related to the weakening of an initial east-west pressure gradient, followed by invasion of several 366 pressure systems on subsequent days, but seem to have little correspondence with the presence of 367 LLJs. Frequency types 2 and 3, however, are strongly anticorrelated and correlated, respectively, 368 with LLJs. The diurnal frequency range (type 2) shows peak amplitudes during afternoon and 369 daytime of the first four days of data, when incoming radiation has the strongest local impact on 370 winds. Type 3 frequency signals are strongly in phase with the nocturnal LLJs. Furthermore, 371 the 19-h period associated with these frequencies is sufficiently close to the 20-h inertial period, 372 leading us to conclude that this signal provides evidence of an IO that drives LLJs in this dataset. 373 Higher-frequency mesoscale signals (type 4) do not show a strong correspondence with LLJs but 374 appear to be most related to smaller-scale fluctuations in velocity related to a high-precipitation 375 event on 12 June 2018 (Bodini et al. 2021). 376

Analysis of the local-in-time HHT signal in the SGP demonstrates that this approach can distin-382 guish known mechanisms of LLJs in the region, including synoptic-scale pressure gradient forcing, 383 the diurnal cycle, and IOs. We therefore proceed to apply this analysis to the three case studies 384 of springtime mid-Atlantic LLJs. Figure 9 reveals that the 3 April 2020 test case is characterized 385 by initially strong synoptic (type 1) frequencies, which decrease in amplitude leading up to the 386 initiation of a persistent LLJ in the evening of 5 April 2020. Note the colorbar scale of the type 1 387 amplitudes differs from types 2–4 as the associated amplitudes are much stronger in the springtime 388 LLJ cases. Simultaneously, the amplitude of the type 2 signals, which contain the 22-h and 27-h 389 peaks noted in Figure 7, pick up and are strongest at altitudes near the jet-nose height. The type 3 390 amplitudes show some diurnal variability and increase in both components during the LLJ event, 391 and type 4 amplitudes show little correspondence with the jet event. The pattern seen on 5 April 392 reveals a downscaling of atmospheric motions: synoptic frequencies leading up to passage of a 393 cold front on 6 April propagate diurnal or inertial frequency motions during the LLJ event, which 394 perists through 7 April. Mesoscale frequencies are strongest in the V component during frontal 395 passages on 6 April and 9 April. 396

For the persistent jet on 15 May 2020, we observe a similar downscaling pattern in the *V* component of wind (Figure 10), in which synoptic frequencies with amplitudes on the order of  $10 \text{ m s}^{-1}$  intensify ahead of a warm fron moving from south to north on 15 May. These synoptic frequencies give way to type 2 frequencies at similar amplitudes during the jet event, particularly in



FIG. 8. Instantaneous HHT amplitudes (in m s<sup>-1</sup>), summed over IMFs, in four frequency ranges (see table 1) of *U* and *V* velocity components for lidar data from the SGP ARM site C1 from 9–15 June 2018. The time and jet-nose height of each LLJ occurrence detected during this time period is marked with a black dot on all plots. Note the difference in scales of altitude versus Figures 9–11 due to the larger vertical extend of the ARM lidar data availability relative to the NYSERDA dataset.

the *V* component. The *U* component displays an increasing amplitude of type 1 synoptic frequencies during the LLJ event, particularly at the upper measurement altitude of 200 m, which is indicative



FIG. 9. Instantaneous HHT amplitudes (in m s<sup>-1</sup>), summed over IMFs, in four frequency ranges (see table 1) of U and V velocity components for lidar data from the E06 NYSERDA buoy on 3–8 April 2020. The time and jet-nose height of each LLJ occurrence detected during this time period is marked with a black dot on all plots.

of larger scale pressure systems in the region. Neither the type 3 or 4 frequencies appear strongly
 correlated with the presence of a jet on this case date.

The 2–8 June 2020 case date manifests several intermittent LLJs with lower jet-nose heights than the 15 May or 5 April LLJs (Figure 11). Frequency analysis of this June case does not display a



FIG. 10. As in Figure 9 but for the date range 13–18 May 2020.

coherent downscaling pattern from synoptic frequencies to higher-frequency motions, reflecting a relatively stationary high-pressure system over the ocean southeast of the buoys and a lack of frontal motions until a southeast-moving cold front forms on 6 June, passing the buoy on 7 June. (A signature of this cold front is seen in increasing type 1 amplitudes in the *U* velocity component.) None of the frequency ranges show consistent amplitude increases that coincide with the presence of intermittent LLJs on 3–7 June. However, three spikes in lower-frequency (type 3) signals in the



FIG. 11. As in Figure 9 but for the date range 2–8 June 2020.

<sup>416</sup> U component of velocity span a majority of the observed jet events, and a non-zero amplitude in the <sup>417</sup> type 2 range is generally present throughout the time period. These characteristics indicate that this <sup>418</sup> 6-day period is driven less by large-scale gradients in temperature and pressure or frontal systems, <sup>419</sup> and more so by persistent pressure systems and motions at a near-diurnal/inertial frequency.

A similar frequency analysis of non-springtime LLJ events at buoy E06 (plots not included) reveals significant amplitudes and variation in frequency range 1 and minimal signals in frequency ranges 2–4 around the time of jet occurrences. The air–sea temperature difference offers additional
insight, as a positive difference supports a more stable boundary layer and favorable conditions for
IO in springtime, and less favorable conditions at other times of year. As such, weaker signals in
the inertial range may suggest that outside of the spring, the air–sea temperature difference is less
crucial to the formation of jets.

These frequency analyses provide mounting evidence that IOs may play a role in driving spring-427 time mid-Atlantic LLJs but not according to a nocturnal cycle of surface frictional decoupling. 428 The presence of strong synoptic frequency motions that either dissipate just before LLJ events (5 429 April and 15 May) or coincide with the end of repeated LLJ events (7 June 2020) indicates that 430 large-scale gradients are a key factor in these offshore jets. This finding corroborates the notion 431 that LLJs are associated with frontal passages and points toward a baroclinic mechanism in which 432 horizontal temperature gradients may drive a stationary LLJ in the thermal wind balance. Further-433 more, evidence of inertial frequency motions that coincide with these springtime jets suggests that 434 IOs may amplify a baroclinically-driven jet through inertial acceleration. 435

# 436 c. Theoretical models

# 437 1) INERTIAL OSCILLATION

Fitting the wind velocity data from identified LLJ events to a model of IO (as in the conceptual model of Wiel et al.) facilitates a better understanding of the contribution of IO to the jet. Hodographs at a single altitude in Figure 12 illustrate the turning of the wind in the 5 April 2020 jet over an 18-h period. Fits to an IO are provided at the intrinsic inertial period of about 19 h, as well as a longer IO period of 22 h as identified by the peak in Figure 7. Neither fit provides a clean match to the absolute wind velocities, which fluctuate strongly in the later hours of the data, but the winds do show evidence of clockwise rotation with a timescale characteristic of IO.

Parameters of the IO fit (Equations 1–4) are shown in Figure 13 for all three springtime 2020 LLJ case studies previously discussed. The amplitude of the oscillation for the 5 April 2020 case is approximately double the root-mean-square (RMS) error in the IO fit, indicating that this simple model explains the wind speeds well during this event, while the 15 May 2020 case shows an RMS error profile of similar magnitude to the amplitude fit. These two cases indicate only marginal differences in the fitting parameters and RMS error when using the native versus modified inertial



FIG. 12. Hodographs of NYSERDA buoy E06 wind data from 1700 UTC 5 April 2020–1100 UTC 6 April 2020 at four altitudes (labeled), with IO fits using a Coriolis parameter of  $f = 9.31 \times 10^{-5}$  rad s<sup>-1</sup> (inertial period of 18.7 h) based on buoy latitude, and a modified inertial frequency of  $\tilde{f} = 7.93 \times 10^{-5}$  rad s<sup>-1</sup> based on the finding of a 22-h period in the HHT spectra.

frequency, with a small reduction in error for the 5 April 2020 case at low altitudes. Notably, however, the steady-state velocity profiles of both cases display a local maximum in wind speed, indicating that the LLJ is a stationary phenomenon not dependent on inertial acceleration. This finding supports the idea of a thermal wind-driven jet, as in the baroclinic mechanism of Parish (2000), which is further enhanced by IOs.

Fitting the 4 June 2020 LLJ to an IO tells a different story. In this case, using a modified inertial period of 27 h dramatically improves the IO fit, as seen by the RMS error (Figure 13, bottom right). The modified fit includes increased the magnitude of both steady state wind components and the amplitude of the oscillation. A less obvious jet in the steady-state winds arises from the decreasing



FIG. 13. Parameters of the IO fit using two different inertial frequencies, and the RMS error between the instantaneous fit velocities and measured velocities as a function of altitude (far right). Fits are performed for (top to bottom): (a) 1700 UTC 5 April 2020–1100 UTC 6 April 2020, (b) 1500 UTC 15 May 2020–0900 UTC 16 May 2020, and (c) 0000 UTC 4 June 2020–0000 UTC 5 June 2020. The modified Coriolis parameters for the three cases were (a)  $\tilde{f} = 7.93 \times 10^{-5}$  rad s<sup>-1</sup>, (b)  $7.93 \times 10^{-5}$  rad s<sup>-1</sup>, and (c)  $6.46 \times 10^{-5}$  rad s<sup>-1</sup>, respectively, compared to  $f = 9.31 \times 10^{-5}$  rad s<sup>-1</sup>.

magnitude of  $v_{ss}$  with altitude as  $u_{ss}$  increases; the associated wind speeds of this steady state, however, are much lower than in the 5 April or 15 May jets, and are similar in magnitude to the amplitude *A*. As noted by Zhang et al. (2006), the frequency of an IO in Blackadar's theory is modified to first order by horizontal shear as:

$$\tilde{f} = \sqrt{f(f + \operatorname{curl}(\mathbf{U}_{\mathbf{g}}))},\tag{5}$$

where  $\operatorname{curl}(\mathbf{U}_{\sigma})$  is the curl of the geostrophic wind vector, corresponding to the horizontal shear. 475 This modified inertial frequency may be greater or less than the native inertial frequency. (A 476 derivation of this result is included in Appendix B). The difference in wind velocities between 477 buoys E05 and E06 during the 15 May 2020 LLJ yields an estimated curl (or mean shear) of 478  $-3.3 \times 10^{-5}$  s<sup>-1</sup>, which would modify the inertial period to 23 h, near the 22-h peak in Figure 10. 479 For the 5 April 2020 LLJ, a the mean shear of  $4.4 \times 10^{-5}$  s<sup>-1</sup> would decrease the inertial period to 480 15 h, corresponding to the smaller 14-h peak in the V component rather than the dominant observed 481 22-h peak. The 4 June 2020 LLJ experienced the most fitting improvement from using a modified 482 horizontal shear. To modify this inertial frequency from  $9.31 \times 10^{-5}$  rad s<sup>-1</sup> to  $6.46 \times 10^{-5}$  rad s<sup>-1</sup> 483 (period of 18.7 h to 27 h) would require a horizontal shear of  $-2.0 \times 10^{-5}$  s<sup>-1</sup>. The estimated curl 484 over the full 6-day period is  $-2.2 \times 10^{-5}$  s<sup>-1</sup>, which is in very good accord in both magnitude and 485 sign. This observed horizontal shear indicates that the 27-h period is in fact characteristic of an 486 inertial signal, which explains the improvement in fit to an IO model using this modified frequency. 487 Figures 14–16 compare the observed and modeled wind speeds and directions for the same three 495 LLJ events. In the case of 5 April and 15 May, the IO model captures the timing and magnitude of 496 the local wind-speed maximum, which rises in altitude and increases in strength before subsiding 497 again. The IO model likewise does well in capturing the more subtle wind direction changes over 498 the course of the two events but misses some of the vertical structure of wind-direction variation 499 seen on 5 April 2020. For the repeated jets from 3–6 June 2020, Figure 16 includes the IO model 500 fit extended outside of the 27-h period of data used to fit the parameters. On 4 June 2020, the IO 501 model predicts the wind direction structure and evolution of the LLJ extremely well, including the 502 decreasing wind speeds that end the event around 1200 UTC. We find that extending this 27-h IO 503 fit before and after the 4 June 2020 LLJ does not adequately explain the timing or magnitude of 504 other recurring jets in this time period. The lack of predictability for these recurring jets points to 505 additional mechanisms such as variations in the horizontal shear which modify the inertial period, 506 leading to deviations from a standard cyclic nocturnal jet. Indeed, the horizontal shear from 2-3 507

June 2020 has an opposite sign from the mean at  $3.6 \times 10^{-5}$  s<sup>-1</sup>. This shear would result in a shortened inertial period of 15.9 h, and could correspond to the 14–15 h peak seen in Figure 7.



FIG. 14. Measured wind speed and direction during 5 April 2020 LLJ at NYSERDA buoy E06 (top), and predicted winds (bottom) from the IO fit with  $f = 9.31 \times 10^{-5}$  rad s<sup>-1</sup> based on buoy latitude.



FIG. 15. Measured wind speed and direction during 15 May 2020 LLJ at NYSERDA buoy E06 (top), and predicted winds (bottom) from the IO fit with  $f = 9.31 \times 10^{-5}$  rad s<sup>-1</sup> based on buoy latitude.

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FIG. 16. Measured wind speed and direction at NYSERDA buoy E06 (top), and predicted winds (bottom) from the IO fit with modified inertial frequency  $\tilde{f} = 6.46 \times 10^{-5}$  rad s<sup>-1</sup> based on observed frequency spectra. Time periods that are not considered in fitting the IO parameters are grayed out but included for reference.

## 510 2) THERMAL WIND

As in the work of Ostdiek and Blumen (1997), Figure 17 demonstrates that the vertical structure 515 of the steady-state wind profiles found in the IO model can be explained through an Ekman-Taylor 516 balance. The freely-varying fit to Equation 4 allows the vertical gradients in  $U_g$  and  $V_g$  to vary 517 during the optimization problem, while the constrained fit fixes these values based on estimated 518 horizontal temperature gradients from a concurrent WRF run at the buoy site. Parameters of both 519 fits are found in Tables 2 (free) and 3 (constrained). Where applicable the fit parameters are related 520 back to physical quantities: the Ekman depth H is related to the eddy viscosity as  $H = (2\kappa/f)^{1/2}$ , 521 and the vertical gradients in geostrophic velocity are related to potential temperature  $\theta$  via a thermal 522 wind balance as  $U_{gz} = -\frac{g}{f\theta_0} \frac{\partial \theta}{\partial y}$  and  $V_{gz} = \frac{g}{f\theta_0} \frac{\partial \theta}{\partial x}$ . For the case of estimated temperature gradients, 523 the implied geostrophic velocity gradients are estimated as  $U_{gz} = -\frac{g}{fT_0} \frac{\partial T}{\partial y}$  (likewise for  $V_{gz}$ ) where 524  $T_0$  is the mean ambient air temperature. 525

The freely-varying and the constrained version of these fits can reproduce the local maximum in winds for the 5 April 2020 and 15 May 2020 case dates, while the 4 June 2020 case is not well captured by the constrained fit (Figure 17). The first two parameters *A* and *H* generally fall within a physical range for offshore conditions for 5 April and 15 May 2020 in both fits (Bannon and



FIG. 17. Steady-state velocity profiles from IO fit (using inertial frequencies as in Figures 14–16) versus Ekman–Taylor balance fit for (top to bottom): (a) 5 April, (b) 15 May, and (c) 4 June 2020. Two version of the fit are shown: the freely-varying fit, in which  $u_{gz}$  and  $v_{gz}$  are fit parameters, and the constrained fit, in which  $u_{gz}$ and  $v_{gz}$  are estimated from WRF model output.

	Fit Parameters					Implied Quantities			
Case	А	H (m)	$U_{gz}$ (s <sup>-1</sup> )	$V_{gz}({\rm s}^{-1})$	$U_{g0} ({ m m s^{-1}})$	$V_{g0} (m s^{-1})$	$\kappa~(\mathrm{m}^2~\mathrm{s}^{-1})$	$\frac{\partial \theta}{\partial x}$ (K km <sup>-1</sup> )	$\frac{\partial \theta}{\partial y}$ (K km <sup>-1</sup> )
5 April 2020	3.1	98	-0.022	0.012	13.6	-1.3	0.45	0.033	0.062
15 May 2020	2.5	155	-0.038	0.016	24.3	2.7	1.13	0.045	0.011
4 June 2020	0.4	40	0.002	-0.011	4.9	2.8	0.07	-0.030	-0.006

TABLE 2. Parameters of the freely-varying Ekman–Taylor fits to steady-state winds from the IO model for three case dates and the physical quantities implied by these parameters. The eddy viscosity  $\kappa$  and gradients in  $\theta$  (potential temperature) are computed using  $f = 9.31 \times 10^{-5}$  rad s<sup>-1</sup>, g = 9.81 m<sup>2</sup> s<sup>-1</sup>, and  $\theta_0 = 300$  K.

	Physical Parameters		Fit Parameters				Implied Quantities		
Case	$\frac{\partial T}{\partial x}$ (K km <sup>-1</sup> )	$\frac{\partial T}{\partial y}$ (K km <sup>-1</sup> )	А	H (m)	$U_{g0} ({\rm m \ s^{-1}})$	$V_{g0} \ ({\rm m \ s^{-1}})$	$\kappa~(\mathrm{m}^2~\mathrm{s}^{-1})$	$U_{gz}$ (s <sup>-1</sup> )	$V_{gz}$ (s <sup>-1</sup> )
5 April 2020	-0.0135	0.0182	1.7	86	11.6	2.0	0.35	-0.006	-0.005
15 May 2020	-0.0231	0.0911	2.1	210	27.6	5.4	2.0	-0.033	-0.008
4 June 2020	-0.0145	-0.0282	0.7	25	4.0	2.3	0.03	0.010	-0.005

TABLE 3. As in Table 2 for the gradient-constrained Ekman–Taylor fits to steady-state winds from the IO model for three case dates and the physical quantities implied by these parameters.

Salem 1995), interpreting H as proportional to the marine boundary layer height. The Ekman layer 535 thickness for the 4 June 2020 case is particularly small, implying negligible vertical mixing. The 536 eddy viscosity implied by the fitted H agrees with the finding of A = 0.4 (0.7 in the constrained case) 537 for a nearly stress-free boundary (Bannon and Salem 1995), but both quantities are likely unreliable 538 due to the worse fit of the IO model to this case. In the freely varying fit, the vertical gradients 539 in geostrophic velocity imply potential temperature gradients of a realistic order of magnitude. 540 However, the sign of the implied  $\frac{\partial T}{\partial x}$  is counter-intuitive. On 5 April and 15 May, the fits in 541 Table 2 imply increasing potential temperatures to the north, consistent with estimates used in the 542 constrained fit and physically consistent with land-sea temperature differences between the NY 543 Bight and urban areas to the north, as noted by Colle and Novak (2010). However, the positive value 544 of  $\frac{\partial T}{\partial x}$  contradicts the expected land-sea temperature gradient as well as the estimated temperature 545 gradients from WRF. Using these estimated gradients to derive the geostrophic velocity gradients, 546 however, does not significantly impact the ability of the Ekman–Taylor model to fit the steady-state 547 data for these two case dates. The north-south gradient in y is typically larger than the x gradient, 548 thus the consistency in sign of this quantity across the two fits helps to preserve the behavior of 549 the model. Temperature gradients derived for 4 June generally agree in sign, but the constrained 550 fit performs poorly by comparison. However, the jet-nose maximum in the steady-state profile for 551

this June case is much less pronounced, and the surrounding 6-day period experiences recurring
 LLJs. These factors indicate that the 4 June 2020 LLJ is driven more strongly by IO and frictional
 decoupling than by the baroclinic mechanism.

## 555 d. Limitations

Chief among the limitations of this work are the limited horizontal and vertical extent of the 556 observational data, which restricts analysis to only two locations in the mid-Atlantic offshore, at 557 altitudes of 200 m or lower. The vertical extent limits characterization of jets that may occur 558 higher in the troposphere (e.g., Zhang et al. 2006; Colle and Novak 2010), but is sufficient to yield 559 insights on very-low LLJs, which are extremely relevant to wind energy. The two NYSERDA 560 buoys each yield only a single pressure and temperature measurement near the sea surface, which 561 creates significant uncertainty in assessing atmospheric stability or horizontal gradients at altitudes 562 outside of the surface layer. These challenges make it impossible to assess the absolute accuracy 563 of the parameters found from thermal wind balance analysis or the power of this analysis as a 564 predictive tool for LLJs. Finally, using only data from the two buoys does not allow us to draw 565 conclusions about the regional or mesoscale extent of the LLJs under study. 566

Along the same lines, this work does not attempt to address the contribution of sloped terrain 567 from Appalachia to these horizontal gradients, focusing instead on information that can be gleaned 568 strictly from measurements over the NY Bight. Several of these uncertainties could be investigated 569 using additional existing lidar buoys off the coast of New Jersey and Massachusetts, but a detailed 570 analysis of all of these datasets is beyond the scope of this work. Reducing the uncertainties 571 related to vertical resolution of the horizontal temperature gradients in particular would require 572 additional measurements beyond currently available data. Limitations in the temporal extent of 573 the data, which only provide a 2-year period of consistent readings at both buoys, also make it 574 difficult to definitively characterize the statistical difference between jet and background events. 575 This challenge is compounded by the gaps in data availability, such as the mentioned months-long 576 gaps at E06. Atmospheric models could also provide missing information related to vertical and 577 horizontal gradients in temperature and pressure, the mesoscale extent of the LLJ, and extend the 578 date range of study, but previous studies using weather models (e.g., Aird et al. 2022; Zhang et al. 579 2006) have shown that they struggle to consistently capture LLJ characteristics and are sensitive 580

to parameterization choices (Rabenhorst et al. 2014). We therefore leave detailed analysis using weather model data to future work.

In addition, while this work describes an analytical model to explain the evolution of an LLJ due to horizontal temperature gradients and inertial oscillation, we do not attempt to model these gradients as a direct consequence of frontal activity or LSB, both of which are discussed as potential contributors. Further efforts to examine a larger geographic extent, higher-frequency statistics of frontal motions, and conceptual models of circulations induced by the fronts versus the LSB could elucidate the relative role and seasonality of these larger-scale factors, but they are beyond the scope of this work.

# 590 4. Conclusions

Analysis of LLJ events from the two NYSERDA buoys across a 2-year period revealed that jets 591 are predominantly southwesterly flows that occur in the springtime without a strong diurnal cycle, 592 other than a dip in frequency during the nighttime. This lack of a diurnal cycle in jet occurrence 593 separates these offshore mid-Atlantic LLJs from their SGP counterparts, pointing to mechanisms 594 beyond IO. More specifically, the 2-year statistics of the jets reveals a dominance of along-coast 595 gradients in temperature and pressure, indicating that a baroclinic mechanism similar to that of 596 the California coast (Parish 2000) drives mid-Atlantic jets. In this study, we focus on three case 597 periods during spring 2020, two of which exhibited a frontal passage. Fronts are one example of 598 such a large-scale gradient as seen by the statistically significant increase in LLJ event probability 599 in the presence of a front. Land-sea breezes can play a dual role. By enhancing horizontal 600 gradients, they contribute to the thermal wind balance mechanism. At the same time, the flow 601 of warmer air over a cold sea during the springtime LSB contributes to atmospheric stability and 602 conditions that favor IOs. HHT frequency analysis confirms this finding by revealing strong signals 603 in synoptic-timescale motions, as well as a downscaling of synoptic frequencies to modified inertial 604 frequencies. Our analyses do not indicate a recurring diurnal signature, indicating that the LSB 605 contributes to conditions of atmospheric stability for IOs to occur, rather than generating a sufficient 606 horizontal gradient to trigger jets alone. Indeed, fitting data from specific LLJ events to conceptual 607 models reveals that IO is an excellent match to the wind data but that a local maximum in wind 608 speed occurs in the steady-state wind vector rather than resulting from the oscillation. This steady 609

state can be explained by a thermal wind balance, further proving that large-scale temperature and pressure gradients are the dominant cause of LLJ formation and that inertial motions further modulate the timing and intensity of these jets.

Our findings build on existing studies of mid-Atlantic LLJs which focus on nocturnal jets (Zhang 613 et al. 2006) or exclude synoptic-scale forcings (Rabenhorst et al. 2014) by providing a more general 614 analysis of potential contributing factors without presupposing or excluding potential mechanisms. 615 This research contributes to our understanding of mid-Atlantic jets by demonstrating that synoptic-616 scale gradients in temperature and pressure are a key feature for jets to form in the region. 617 IOs, stemming from stability induced by the LSB, enhance LLJ behavior over an approximately 618 stationary background flow. The dominance of the baroclinic mechanism suggests that correct 619 prediction of frontal events and pressure systems is a key criterion for weather forecasting models 620 to be useful predictive tools for LLJs. IOs during jet events are likely to be particularly important 621 considerations for operation of future offshore wind plants due to their impacts on the peak 622 wind speeds, altitude of the wind speed maximum, negative vertical wind shear, and directional 623 shear, all of which have been shown to be important to wind-turbine operation and performance 624 (Gutierrez et al. 2016, 2019; Zhang et al. 2019; Doosttalab et al. 2020; Gadde and Stevens 2020, 625 2021; Chatterjee et al. 2022). These impacts may have implications for individual turbine control 626 to reduce fatigue or wind plant control to maximize power production under LLJ conditions. 627 Given the novelty of offshore wind development and deployment in the U.S. mid-Atlantic coastal 628 offshore, this study may inform the design, deployment, and ultimate operation of offshore wind 629 energy projects in the NY Bight and nearby lease areas. 630

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Data availability statement. All scripts used in data analysis and modeling are archived at https: 646 //doi.org/10.5281/zenodo.8271338. The NYSERDA floating lidar buoy data used in this 647 study is publicly accessible online at https://oswbuoysny.resourcepanorama.dnv.com/ 648 (NYSERDA 2022). Doppler lidar data from the ARM SGP site C1 can likewise be downloaded from 649 https://www.arm.gov/data/data-sources (Newsom and Krishnamurthy 2023). Surface 650 analysis images used to identify fronts are available from the National Weather Service Weather 651 Prediction Center archive at https://www.wpc.ncep.noaa.gov/archives/web\_pages/sfc/ 652 sfc\_archive.php. WRF data from the mid-Atlantic region are archived through the Open Energy 653 Data Initiative at https://data.openei.org/submissions/4500 (Bodini et al. 2020). 654

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## APPENDIX A

#### **Reference Map**

For reference, figure A1 shows the location of the two lidar buoys in reference to the location of an identified warm front on 15 May 2023. Also depicted is the 100 km radius used to identify fronts from the surface analysis archives; in this instance, a warm front is detected in the region.

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FIG. A1. (Top) NOAA WPC detailed surface analysis map from 09Z 15 May 2020 retrieved from https://www.wpc.ncep.noaa.gov/html/sfc-zoom.php, with box depicting geographic extent of lower image; (bottom) zoomed version of the same surface analysis overlaid with locations of the two lidar buoys and the 100km search radius for fronts.

## APPENDIX B

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# Derivation of the horizontal shear modification

To begin, we assume zero vertical velocity and horizontal velocity components that can be decomposed into a stationary geostrophic component and a fluctuating component,  $U_g(x, y, z)$  and u'(z,t), respectively:

$$u = U_g(x, y, z) + u'(z, t)$$
  

$$v = V_g(x, y, z) + v'(z, t)$$
(B1)  

$$w = 0.$$

We further assume that shear in the geostrophic components  $(\frac{\partial U_g}{\partial x}, \frac{\partial U_g}{\partial y}, \frac{\partial V_g}{\partial x}, \text{ and } \frac{\partial V_g}{\partial y})$  are constant or variable in *z* only.

# <sup>671</sup> The two-dimensional governing equations can be expressed as:

$$\frac{\partial u}{\partial t} + u \frac{\partial U_g}{\partial x} + v \frac{\partial U_g}{\partial y} - f(v - V_g) = \kappa \nabla^2 u$$
(B2)

$$\frac{\partial v}{\partial t} + u \frac{\partial V_g}{\partial x} + v \frac{\partial V_g}{\partial y} + f(u - U_g) = \kappa \nabla^2 v$$
(B3)

where frictional terms have been re-expressed with the convention of an eddy viscosity  $\kappa$ . Applying the decomposed velocities from A1, we find:

$$\frac{\partial u'}{\partial t} = -(U_g + u')\frac{\partial U_g}{\partial x} - (V_g + v')\frac{\partial U_g}{\partial y} + fv' + \kappa \frac{\partial^2 (U_g + u')}{\partial z^2}$$
(B4)

$$\frac{\partial v'}{\partial t} = -(U_g + u')\frac{\partial V_g}{\partial x} - (V_g + v')\frac{\partial V_g}{\partial y} - fu' + \kappa \frac{\partial^2 (V_g + v')}{\partial z^2}.$$
(B5)

<sup>674</sup> With frictional decoupling, we assume that diffusion does not act on the time-varying fluctuations <sup>675</sup> in the horizontal velocity. We can therefore write the governing equations as a state equation 676  $\frac{\partial}{\partial t}\mathbf{u}' = A\mathbf{u}' + \mathbf{F}:$ 

$$\frac{\partial u'}{\partial t} = \left(-\frac{\partial U_g}{\partial x}\right) u' + \left(-\frac{\partial U_g}{\partial y} + f\right)v' - U_g \frac{\partial U_g}{\partial x} - V_g \frac{\partial U_g}{\partial y} + \kappa \frac{\partial^2 U_g}{\partial z^2}$$
(B6)

$$\frac{\partial v'}{\partial t} = \left(-\frac{\partial V_g}{\partial x} - f\right)u' + \left(-\frac{\partial V_g}{\partial y}\right)v' - U_g\frac{\partial V_g}{\partial x} - V_g\frac{\partial V_g}{\partial y} + \kappa\frac{\partial^2 V_g}{\partial z^2}$$
(B7)

The eigenvalues of *A* determine the free response of the state equation. In a zeroth-order approximation, one can assume that the Coriolis parameter is much larger than horizontal shear, that is  $U_y, V_x \ll f$ , and thus the eigenvalues are simply  $\pm i f$ .(For simplicity, we abbreviate  $\frac{\partial U_g}{\partial x} = U_x$ and likewise for gradients in *y* and for component  $V_g$ , where the subscript indicates "differentiation with respect to." For a higher-order approximation, we retain the horizontal shear to find eigenvalues  $\lambda$  of *A*:

$$\lambda = -\frac{1}{2}(U_x + V_y) \pm \frac{1}{2}\sqrt{(U_x + V_y)^2 - 4[f^2 + (V_x - U_y)f + U_xV_y - V_xU_y)]}.$$
 (B8)

Retaining terms that are linear in  $U_y, V_x$  and discarding quadratic and higher order terms, the approximate eigenvalues for this damped harmonic oscillator then become:

$$\lambda = -\frac{1}{2}(U_x + V_y) \pm \sqrt{-f^2 - (V_x - U_y)f} = -\frac{1}{2}(U_x + V_y) \pm i\sqrt{f(f + (V_x - U_y))}.$$
 (B9)

<sup>605</sup> The oscillating portion of the solution therefore has a modified inertial frequency:

$$\tilde{f} = \sqrt{f(f + (V_x - U_y))} \tag{B10}$$

where the modification represents a curl in the geostrophic wind vector,  $\operatorname{curl}(\mathbf{U}_{\mathbf{g}}) = (V_x - U_y)$ , arising from horizontal shear.

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